

SOL Broadening by Edge Turbulence: Experiment and Theory

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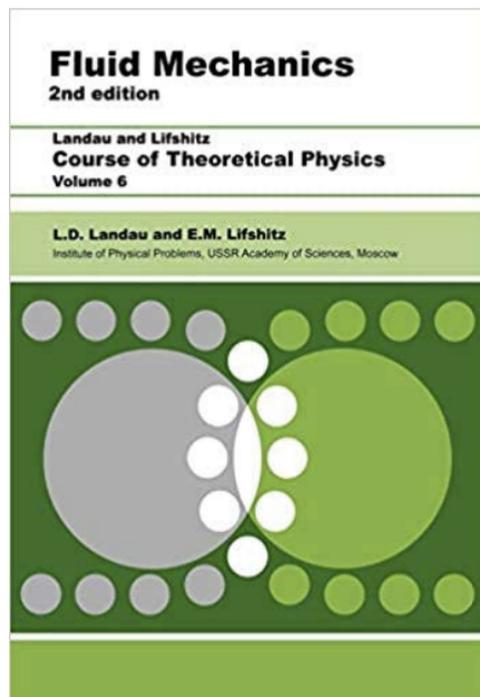
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Outline

- Background: SOL Width Problem and the Physics of the Boundary Layer
- Turbulence Production Ratio and its Implications
- Calculating the Scale of the Spreading-Driven SOL
- Open Issues and Future Plans

Background

- Conventional Wisdom of SOL:
(cf: Stangeby...)
 - Turbulent Boundary Layer, ala' Blasius
 - $\delta \sim (D\tau)^{1/2}, \tau \approx L_c/V_{th}$
 - $D \leftrightarrow$ local production by SOL instability process
→ usual approach
- Features:
 - Open lines \rightarrow dwell time τ limited by transit, conduction
 - Intermittent \rightarrow "Blobs" etc.



Background, cont'd

- But... Heuristic Drift (HD) Model (Goldston +)

- $V \sim V_{\text{curv}}$, $\tau \sim L_c/V_{\text{thi}}$, $\lambda \sim \epsilon \rho_{\theta i}$

- Pathetically small

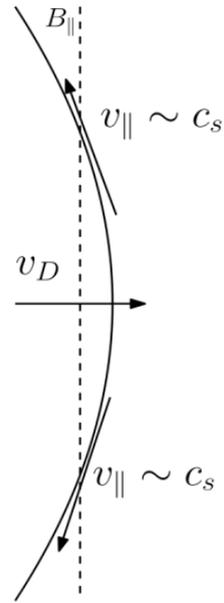
- Pessimistic B_θ scaling

- Fits lots of data.... (Brunner '18, Silvagni '20)

- Why does neoclassical work? \rightarrow ExB shear suppresses SOL

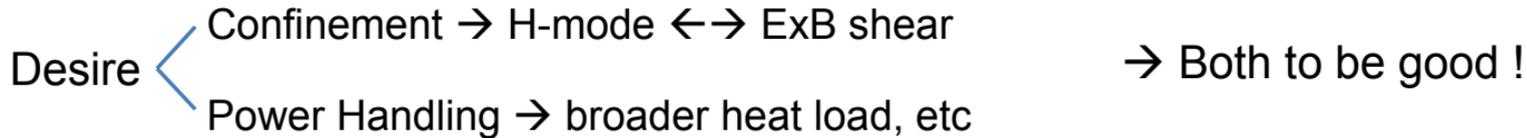
modes i.e. $\gamma_{\text{interchange}} \sim \frac{c_s}{(R_c \lambda)^{\frac{1}{2}}} - \frac{3T_{\text{edge}}}{|e|\lambda^2}$

shearing \leftrightarrow strong λ^{-2} scaling



Background, cont'd

- The Existential Problem... (Kikuchi, Sonoma TTF):

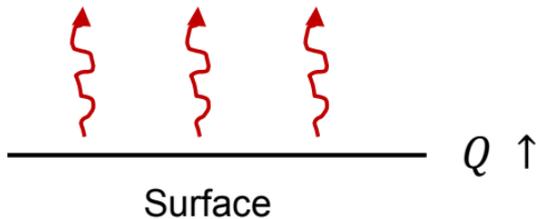


How reconcile?

- Spurred:
 - Exploration of turbulent boundary states with improved confinement: Grassy ELM, WPQHM, I-mode, Neg. D ... N.B. What of ITB + L-mode edge?
 - Simulations, Visualizations (XGC, BOUT...)
- But... What's the Physics ??

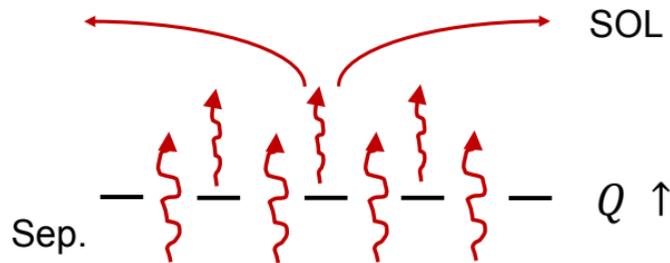
SOL BL Problem

- NOT ala' Landau + Lifshitz



Classic: Heat flux driven BL, Plumes etc

→ Turbulence Spreading (Hahm, P.D., Gurcan, ...)

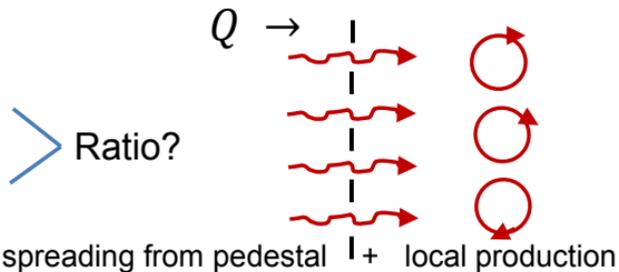


SOL: Turbulent Energy Flux and Heat Flux Drive

N.B.: Includes “blobs” c.f. Manz + Grenfell + for direction flux

- SOL Excitation:

- Turbulence energy influx from pedestal
- Local production



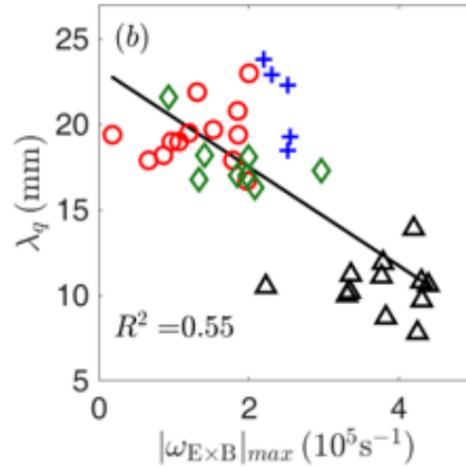
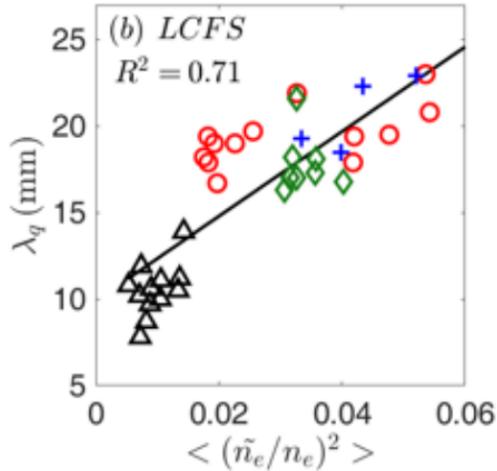
Physics Issues – Part I

- Measure and Characterize Turbulence Energy Flux at LCFS
 - Determine Relative Contributions of :
 - Influx/Spreading thru LCFS
 - SOL Production
- > $R_a \rightarrow$ Production Ratio
- Trends in λ_q vs : ExB shear, ‘Blob’ Fraction...
 - Question: Is SOL turbulence usually spreading driven?
→ Phenomenology... (see Ting Wu +, in preparation)

Experiments and Data Set

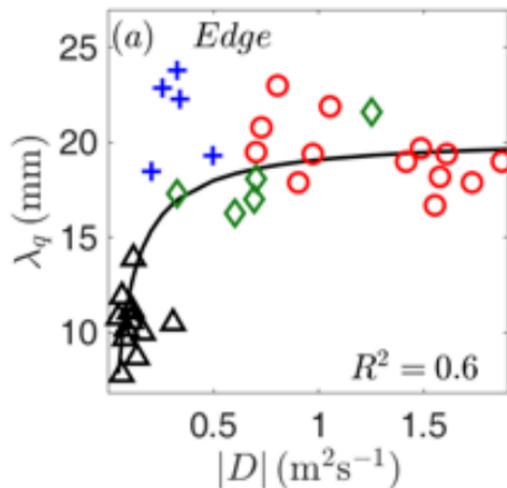
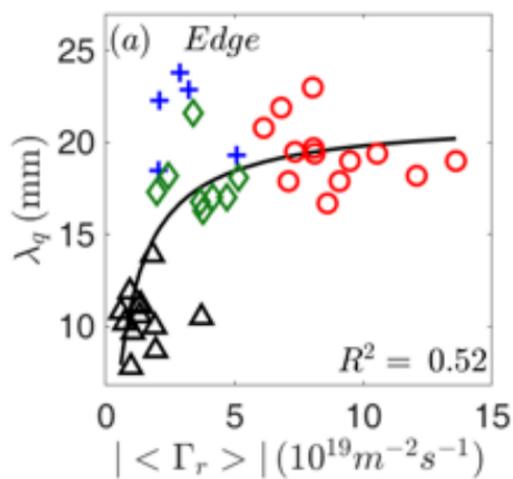
- HL-2A limited OH plasmas
- Reciprocating probe array \leftrightarrow Outboard mid-plane
- $q_{\parallel} = \gamma J_{sat} T_e$, $\gamma \equiv$ sheath transmission coefficient
- Database: 'Garden Variety OH' \sim 150 kA, 1.4T
- 4 parameter subgroups  red circle  blue cross  green diamond  black triangle
- Similar, with $\lambda_q \gg \lambda_{HD}$, except: black triangles 
 - $\lambda_q > \lambda_{HD}$, not \gg
 - Significant GAM activity \rightarrow stronger ExB shear

λ_q Trends 1 – Fluctuation Levels and Shearing



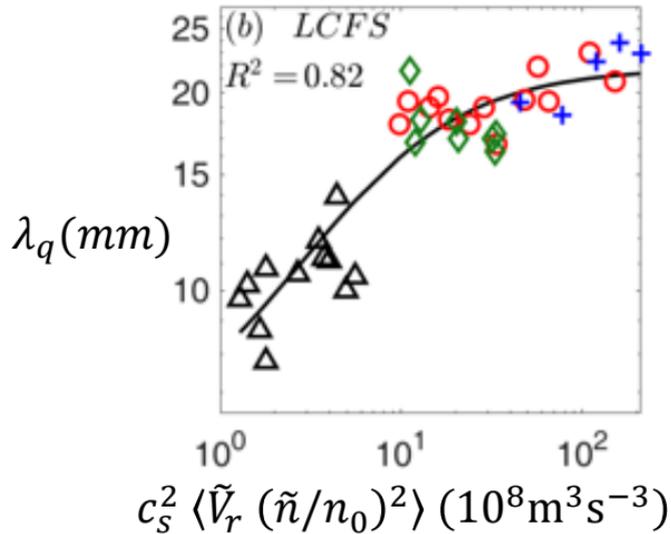
- λ_q increases for increasing fluctuation intensity at lcfs
- λ_q decreases for increasing ExB shear at lcfs

λ_q Trends 2 – Particle Flux and Diffusion



- λ_q increases for increasing edge Γ_n
 - λ_q decreases for increasing edge D
- ? Saturation

λ_q Trends 3 – Spreading



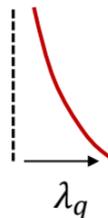
- $\Gamma_\varepsilon = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \rightarrow$ flux of turbulence internal energy thru lcfs
- Direct measurement of local spreading flux
- Consistent with expected trend of expanded SOL width due to spreading across lcfs

SOL Fluctuation Energy – Production Ratio

1 Fluid • $\rho \left(\frac{\partial \vec{V}}{\partial t} + \vec{V} \cdot \nabla \vec{V} \right) = -\nabla P + \frac{1}{c} \vec{J} \times \vec{B} + \rho g \hat{r}$

$$\nabla \cdot \vec{V} = 0, \quad \tilde{P} + \frac{\vec{B}_0 \cdot \tilde{\vec{B}}}{4\pi} \approx 0$$

SOL interchange



$$\begin{aligned} \bullet \quad \partial_t (KE)_{SOL} &= - \int_0^\lambda dr \nabla \cdot \Gamma_E + \int_0^\lambda dr \left[\frac{c_s^2}{R} \left\langle \frac{\tilde{V}_r \tilde{n}}{n_0} \right\rangle - \langle \tilde{V}_r \tilde{V}_\perp \rangle \frac{\partial}{\partial r} \langle V_\perp \rangle \right] \\ &= - \Gamma_E |_{\lambda_q} + \Gamma_E |_{\text{cfs}} + [\text{SOL Integrated local production}] \end{aligned}$$

Fluctuation Energy Influx to SOL

• $\Gamma_E = \langle \tilde{V}_r \tilde{V}^2 \rangle \approx c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \rightarrow$ amenable to measurement

Take: KE flux \sim Int. Energy Flux

this gives ...

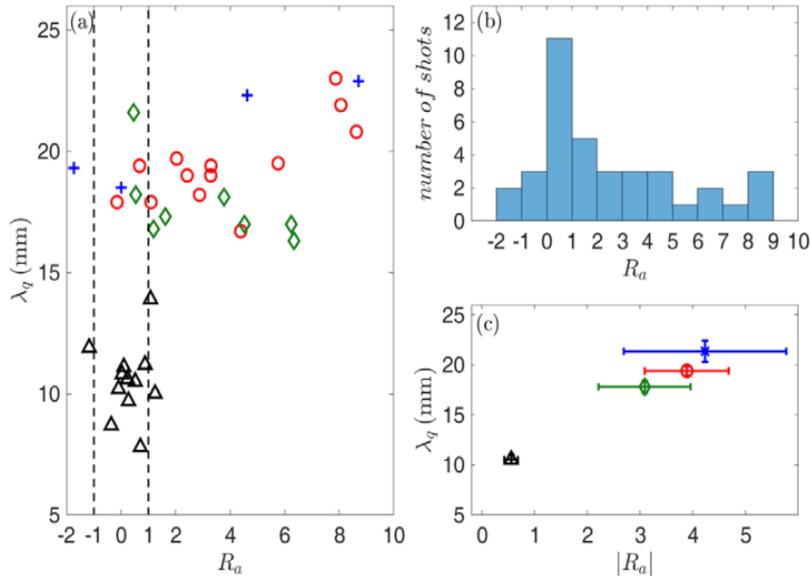
Production Ratio, Cont'd

How important is spreading ?

$$R_a = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \Big|_{\text{lcfs}} / \int_0^\lambda dr \frac{c_s^2}{R} \langle \tilde{V}_r \tilde{n}/n_0 \rangle$$

- Ratio of fluctuation energy influx from edge i.e. spreading drive - to net production in SOL
- $R_a < 1 \rightarrow$ SOL locally driven
- $R_a \gg 1 \rightarrow$ SOL is spreading driven
- Quantitative measurement by Langmuir probes
- N.B. very simple; likely low estimate

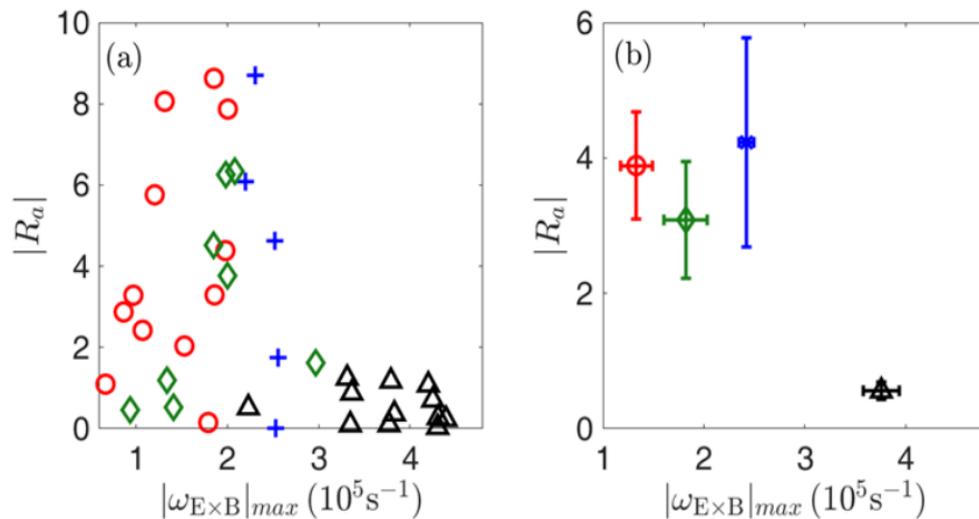
Production Ratio - Measurements



$$R_a = \frac{\text{Fluctuation Energy Influx}}{\text{SOL Local Production}}$$

- Observe:
 - λ_q increases with R_a
 - Most cases $R_a > 1$
 - Broad distribution R_a values
 - Low R_a values \leftrightarrow strong ExB shearN.B. Non-trivial, as shear \leftrightarrow production, also
- Also:
 - Some $R_a < 0$ cases \rightarrow inward spreading \leftrightarrow local measurement trend outward
 - Some very large R_a values

Production Ratio vs ExB Shear 1

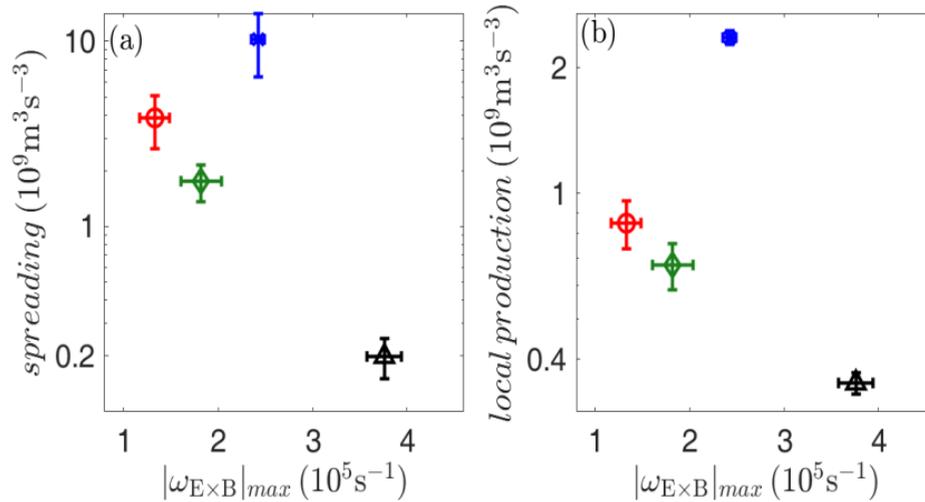


- Low values of $|R_\alpha|$ at high V'_E
- But why?

$$R_\alpha = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle|_{\text{ICFS}} / \int_0^\lambda dr \frac{c_s^2}{R} \langle \tilde{V}_r \tilde{n}/n_0 \rangle$$

→ Expect shear inhibits both spreading and transport flux?

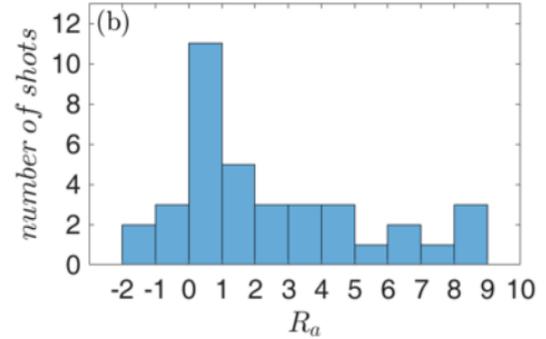
Production Ratio vs ExB Shear 2



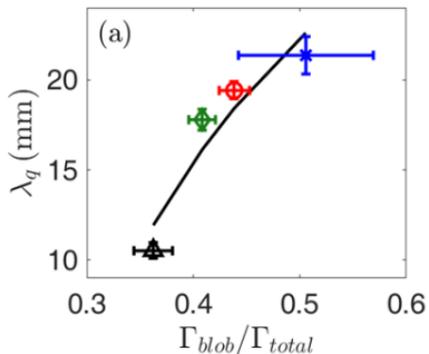
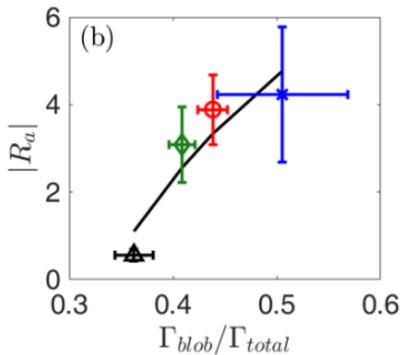
- Both spreading and local production drop due high V'_E
- But spreading $\times (1/8)$ vs Production $\times (1/2)$
- ➔ Spreading flux significantly more sensitive to V'_E than transport flux
- ↔ Triplet vs quadratic ➔ Phases?

Large $R_a \rightarrow$ 'Blobs' ?!

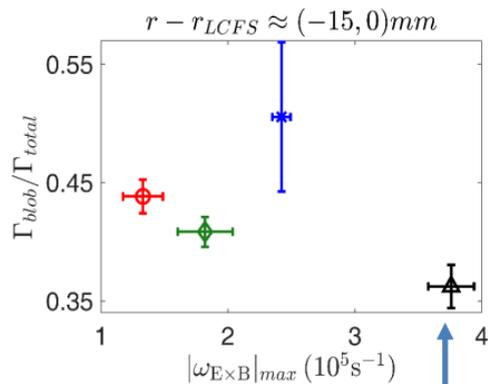
- What of the large R_a values?
- Suspect – Structure Emission i.e. “blobs” !?
- Test:
 - Conditional averaging (i.e. threshold $\tilde{n} > 2\tilde{n}_{rms} \rightarrow$ “blob”)
 - Threshold arbitrary \rightarrow setting based upon previous studies
 - Compute R_a, Γ etc. with conditionally averaged quantities



Large $R_a \rightarrow \lambda_q$ increases with 'blob' fraction



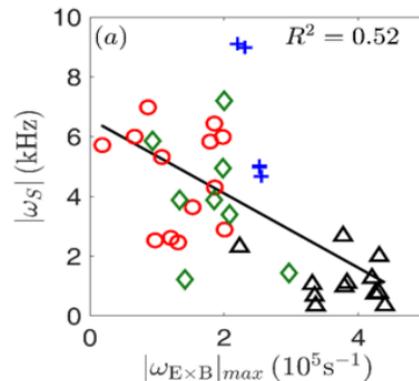
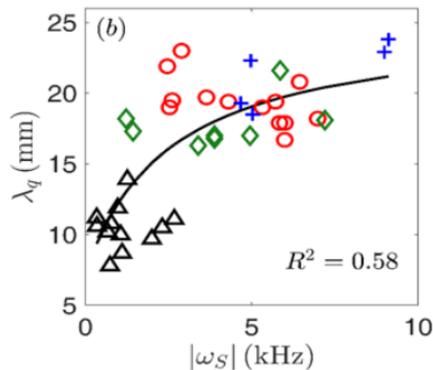
- Large R_a cases \leftrightarrow larger 'blob' fraction' of flux
 \leftrightarrow spreading encompasses 'blobs' (c.f. Manz +)
- λ_q increases with Γ_b/Γ_{Tot}



- High ExB shear cases \rightarrow low 'blob' fraction

Time Scales

- Spreading rates: $\omega_S \approx -\partial_r \langle \tilde{V}_r \tilde{n} \tilde{n} \rangle / \langle \tilde{n}^2 \rangle$
characteristic rate of spreading (Manz +)
- Shearing rate V'_E



- λ_q broadens for large ω_S
- Stronger shear reduces spreading rate

Partial Summary

- Significant, mostly outward, spreading measured at lcfs
- Identified and calculated production ratio

$$R_a = (\text{spreading influx}) / (\text{local production})$$

- Most cases: $R_a > 1 \rightarrow$ spreading dominant player in SOL energetics
- ExB shear reduces $R_a \leftrightarrow$ spreading more sensitive to V_E' than transport and production
- High R_a , spreading \leftrightarrow 'blob' dominated dynamics

YES \rightarrow SOL turbulence usually spreading driven!

“The conventional wisdom is little more than convention” - JKG

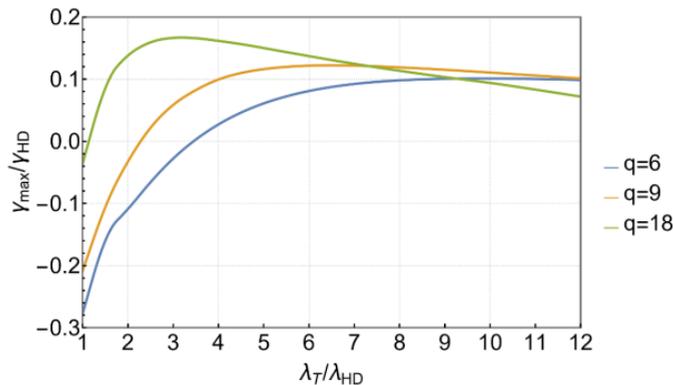
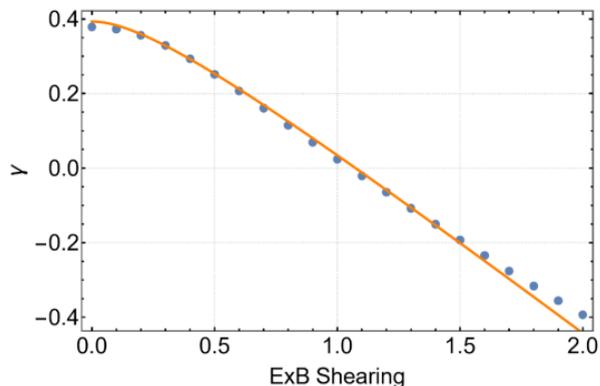
Physics Issues – Part II

N.B. Simulations need theoretical guidance!

- How calculate SOL width for turbulent pedestal but stable SOL?
 - spreading penetration depth?
 - recover HD in turbulence \rightarrow 0 limit
- Scaling and cross-over of λ_q vs HD model?
- Effect Barrier?
- Question: Reconcile SOL Broadening and Confinement?
 - \rightarrow Theory (Chu, P.D., Guo NF 2022)

Model 1 – Stable SOL

- Standard drift-interchange with sheath boundary conditions + ExB shear (after Myra + Krash.)



Maximal Linear Growth Rate of Interchange Mode in the SOL v.s. normalized pedestal width λ_D/λ_{HD} at different SOL safety factor q (with $\beta = 0.001$)

Linear Growth Rate of a specific mode (fixed k_y)
v.s. $E \times B$ shear at $q = 5, \beta = 0.001, k_y \cdot \lambda_{HD} = 1.58$.

- Relevant H-mode ExB shear strongly stabilizing
- Need $\lambda/\lambda_{HD} \gg 1$ for SOL instability. $V'_E \approx \frac{3T_e}{|e|\lambda^2}$

Model 2 – Multiple Adjacent Regions

- “Box Model” – see Z.B. Guo, P.D.

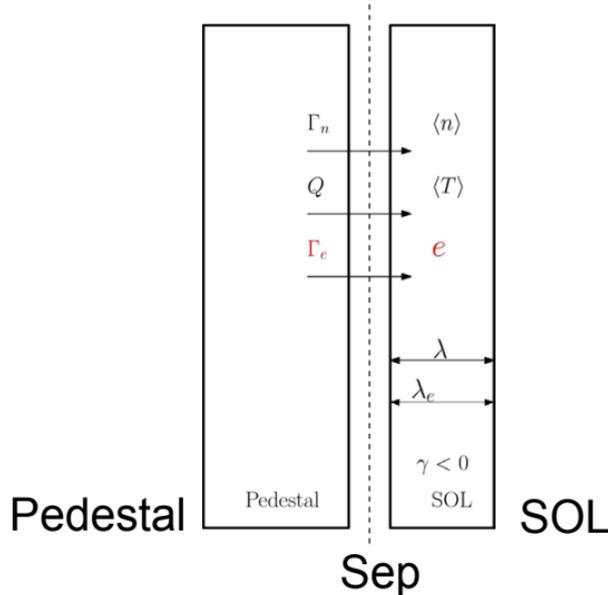


Illustration of Two Box Model: SOL driven by particle flux, heat flux and intensity flux (Γ_e) from the pedestal. The horizontal axis is the radial direction, and vertical axis is the poloidal direction.

- Key Point:
 - Spreading flux from pedestal can enter stable SOL
 - Depth of penetration \rightarrow extent of SOL broadening

Width of Stable SOL

- Fluid particle: $\frac{dr}{dt} = V_{Dr} + \tilde{V}$
 - drift
 - fluctuating velocity
- Dwell time: τ_{\parallel}

- $\delta^2 = \langle (\int (V_D + \tilde{V}) dt) (\int (V_D + \tilde{V}) dt) \rangle$

$\langle (\text{step})^2 \rangle = V_D^2 \tau_{\parallel}^2 + \langle \tilde{V}^2 \rangle \tau_c \tau_{\parallel}$

$= \lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2$

correlation time
modest turbulence $\leftrightarrow \tau_c \geq \tau_{\parallel}$

turbulence energy density

See also
Fokker-Planck analysis

- So $\lambda = [\lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2]^{1/2} \rightarrow$ SOL width [Effects add in quadrature]
- How compute ε ? \rightarrow turbulence energy !

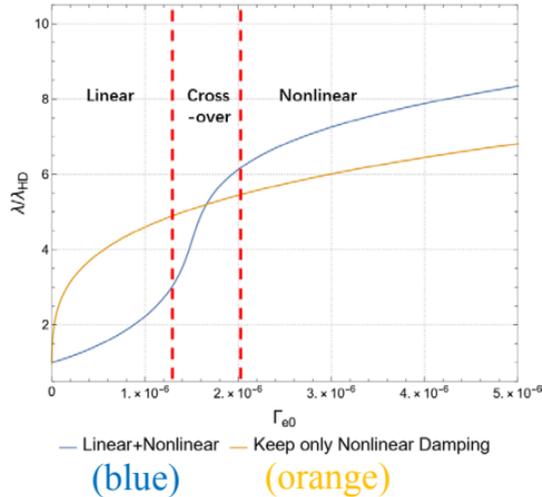
Calculating the SOL Turbulence Energy 1

N.B.: Can explore different NL processes

- $\kappa - \epsilon$ type model:
- $\partial_t \epsilon = \gamma \epsilon - \sigma \epsilon^{1+\kappa} - \partial_x \Gamma_e \rightarrow$ spreading – turbulence energy flux (cf Part1)
 - growth $\gamma < 0$ here
 - NL transfer $\gamma_{NL} \sim \sigma \epsilon^\kappa$
- Integrate $\int_0^\lambda \dots$ (Quantities – layer averaged)
- $\Gamma_{e,0} = \lambda_e |\gamma| \epsilon + \sigma \epsilon^{1+\kappa} \rightarrow$ Linear + NL damping ($\gamma < 0$)
 - separatrix intensity flux
- $\Gamma_{e,0}$ specifies SOL turbulence drive

SOL width Broadening vs $\Gamma_{e,0}$

- SOL width broadens due spreading



λ/λ_{HD} plotted against the intensity flux Γ_{e0} from the pedestal at $q = 4, \beta = 0.001, \kappa = 0.5, \sigma = 0.6$

Variation indicates need for detailed scaling analysis

- Clear decomposition into
 - Weak broadening regime \rightarrow shear
 - Cross-over regime
 - Strong broadening regime \rightarrow NL damping vs spreading
- Cross-over for:
 $\langle \tilde{V}^2 \rangle \sim V_D^2 \rightarrow$ minimal $\Gamma_{0,e}$

Computing the Turbulence Energy Flux 1

- Need consider pedestal to compute $\Gamma_{e,0}$
- Two elements

Does another trade-off loom? -- Pedestal Turbulence: Drift wave? Ballooning?
-- Effect of transport barrier \leftrightarrow ExB shear layer

- Key Point: shearing limits correlation in turbulent energy flux

$$\text{i.e. } \Gamma_{e,0} \approx -\tau_c \kappa \partial_x \kappa \approx \tau_c \kappa^2 / w_{\text{ped}} \quad (\text{Hahm, PD +})$$

ped turbulence
intensity

correlation time \rightarrow strongly sensitive to shearing

Computing the Turbulence Energy Flux 2

- Familiar analysis:

- Kubo formalism for D

- $\tau_c \leftrightarrow \omega_s$, + scattering $\rightarrow \Gamma_{0,e}$ (Pedestal Intensity)

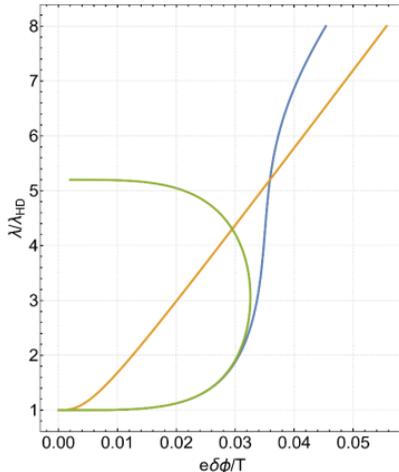
- $\omega_s \approx \partial_x \nabla P / n_0 |e| \sim \frac{\rho_i^2}{w_{ped}^2} \Omega_i$

shearing rate

- Bottom Line:

blue – all damping

orange – non-linear only



$\rightarrow \lambda/\lambda_{HD}$ vs $|e|\hat{\phi}/T_e$ (pedestal)

\rightarrow Can broaden layer at acceptable fluctuation level

Computing the Turbulence Energy Flux 3

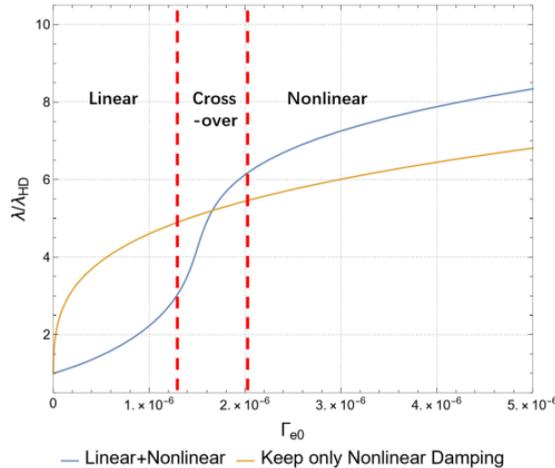
- ➔ • SOL broadening achievable at tolerable pedestal fluctuation levels
- DW levels required scale $\sim (\rho_i/R)^{1/2} \rightarrow$ favorable
- Grassy ballooning turbulence also can broaden SOL
- Sensitivity analysis \rightarrow Cross-over determined primarily by linear damping. Conclusion not sensitive to NL saturation.

Partial Summary

- Turbulent scattering broadens stable SOL

$$\lambda = (\lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2)^{1/2}$$

- Separatrix turbulence energy flux specifies SOL turbulence drive



$$\Gamma_{0,e} = \lambda_e |\gamma| \varepsilon + \sigma \varepsilon^{1+\kappa}$$

Broadening increases with $\Gamma_{0,e}$
cross-over for $\langle \tilde{V}^2 \rangle \sim V_D^2$

Non-trivial dependence

- $\Gamma_{0,e}$ must overcome shear layer barrier

Yes – can broaden SOL to $\lambda/\lambda_{MHD} > 1$ at tolerable fluctuation levels

Further analysis needed

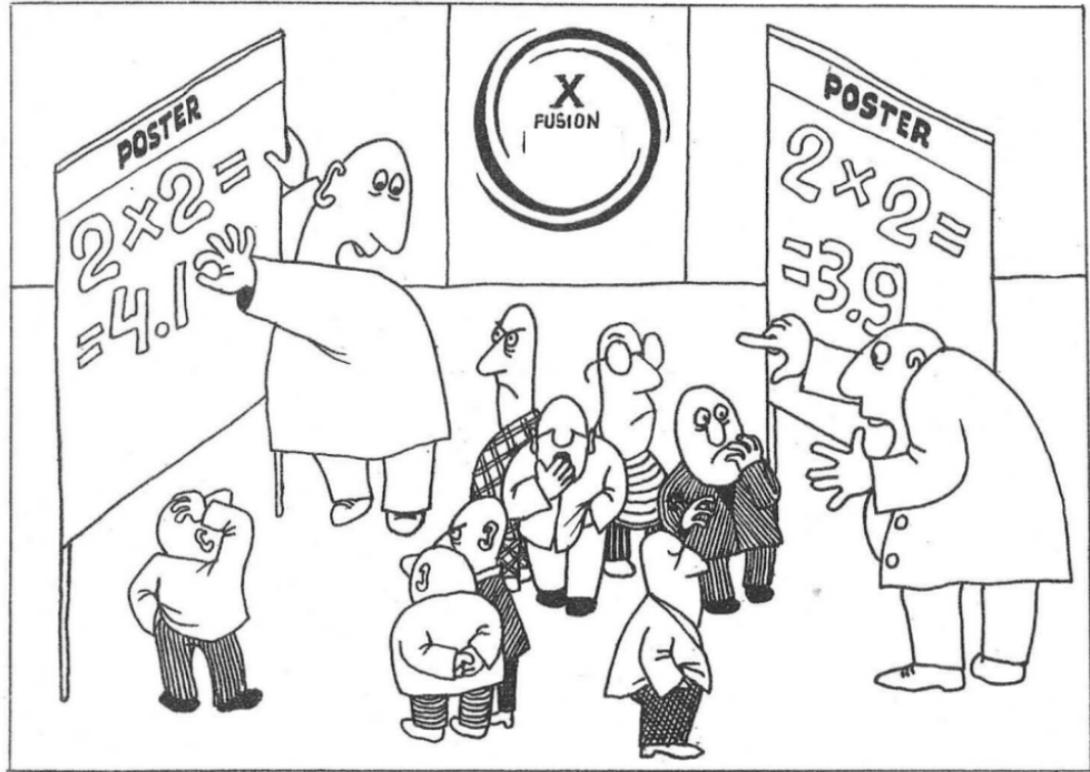
Broader Messages

- Turbulence spreading is important – even dominant – process in setting SOL width. $\Gamma_{0,e}$ is critical element. $\lambda = \lambda(\Gamma_{0,e}, \text{parameters})$
- Production Ratio R_a merits study and characterization
- Spreading is important saturation mechanism for pedestal turbulence
- Simulation should stress calculation and characterization of turbulence energy flux over visualizations and front propagation studies.

Critical questions include local vs FS avg, channels and barrier interaction.

Open Issues

- Quantify $\lambda = \lambda \left(\frac{|e|\hat{\phi}|}{T} \Big|_{ped} \right)$ dependence
- Structure of Flux-Gradient relation for turbulence energy?
- Phase relation physics for intensity flux? – crucial to ExB shear effects
- Kinetics $\rightarrow \langle \tilde{V}_r \delta f \delta f \rangle$, Local vs Flux-Surface Average, EM
- SOL Diffusive? \rightarrow Intermittency('Blob'), Dwell Time ?
- SOL \rightarrow Pedestal Spreading ? \leftrightarrow HDL (Goldston) ?
i.e. Tail wags Dog ? Both wagging ? \rightarrow Basic simulation, experiment ?
Counter-propagating pulses ?

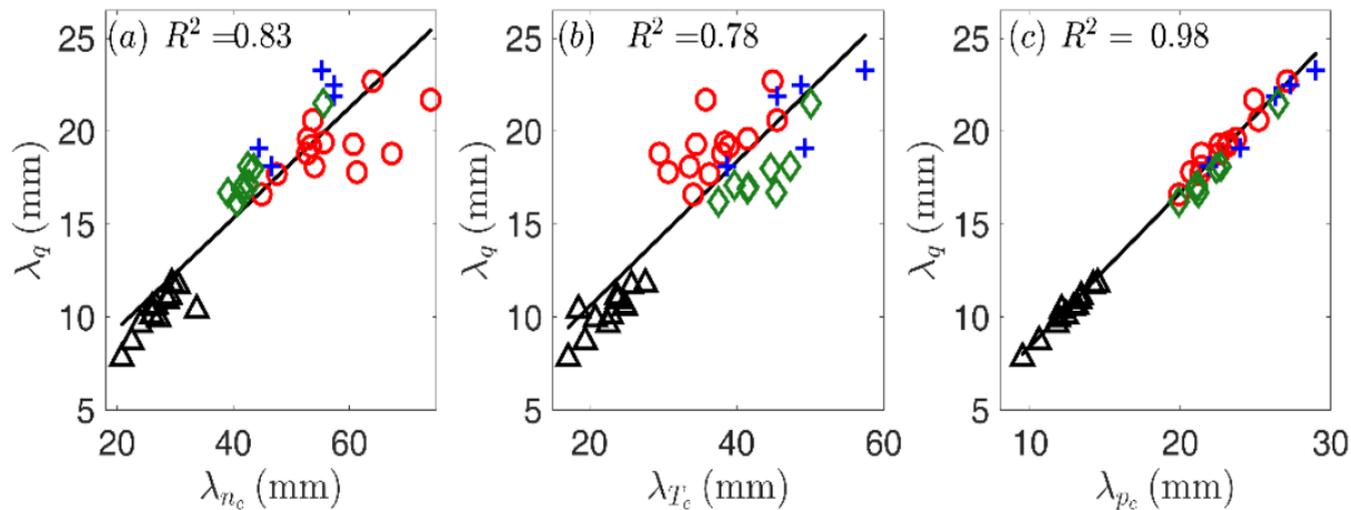


Thank You !
Good to be back in person !

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Back-Up

$$\lambda_{n_e} \sim \lambda_{T_e} \sim \lambda_{p_e}$$



All SOL profile scales comparable